# **PicturedRocks Documentation**

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## Contents:

1	Installing						
		Feature Selection Tutorial					
	1.2	Reading data	7				
	1.3	Preprocessing	8				
	1.4	Plotting	8				
	1.5	Selecting Markers	9				
		Interactive Marker Selection					
	1.7	Measuring Feature Selection Performance	16				
2	2 Indices and tables						
Python Module Index							
Inc	Index						

PicturedRocks is a python package that implements information-theoretic feature selection algorithms for scRNA-seq analysis.

## CHAPTER 1

## Installing

Please ensure you have Python 3.6 or newer and have *numba* and *scikit-learn* installed. The best way to get Python and various dependencies is with Anaconda or Miniconda. Once you have a conda environment, run conda install numba scikit-learn. Then use pip to install PicturedRocks and all additional dependencies:

pip install picturedrocks

To install the latest code from github, clone our github repository. Once inside the project directory, instal by running pip install -e ... The -e option will point a symbolic link to the current directory instead of installing a copy on your system. This allows you to update picturedrocks by pulling the latest commit from github.

### **1.1 Feature Selection Tutorial**

In this Jupyter notebook, we'll walk through the information-theoretic feature selection algorithms in PicturedRocks and demonstrate the interactive marker selection user interface.

If you are viewing this notebook inside the PicturedRocks documentation, the interactive marker selection tool will not work (as it needs a python backend to perform the computations. You can download this notebook from GitHub and run in on your own computer to try the interactive tool.)

[3]: adata

```
[3]: AnnData object with n_obs × n_vars = 2730 × 3451
        obs: 'paul15_clusters'
        uns: 'iroot'
```

The process\_clusts method copies the cluster column and precomputes various indices, etc. If you have multiple columns that can be used as target labels (e.g., different treatments, clusters via different clustering algorithms or parameters, or demographics), this sets and processes the given columns as the one we're currently examining.

This is necessary for supervised analysis and visualization tools in PicturedRocks that use cluster labels.

```
[4]: pr.read.process_clusts(adata, "paul15_clusters")
[4]: AnnData object with n_obs × n_vars = 2730 × 3451
    obs: 'paul15_clusters', 'clust', 'y'
    uns: 'iroot', 'num_clusts', 'clusterindices'
```

The make\_infoset method creates a SparseInformationSet object with a discretized version of the data matrix. It is useful to have only a small number of discrete states that each gene can take so that entropy is a reasonable measurement. By default, make\_infoset performs an adaptive transform that we call a recursive quantile transform. This is implemented in pr.markers.mutualinformation.infoset. quantile\_discretize. If you have a different discretization transformation, you can pass a transformed matrix directly to SparseInformationSet.

```
[5]: infoset = pr.markers.makeinfoset(adata, True)
```

Because this dataset only has 3451 features, it is computationally easy to do feature selection without restricting the number of features. If we wanted to, we could do either supervised or unsupervised univariate feature selection (i.e., without considering any interactions between features).

```
[6]: # supervised
```

```
mim = pr.markers.mutualinformation.iterative.MIM(infoset)
most_relevant_genes = mim.autoselect(1000)
```

```
[7]: # unsupervised
```

```
ue = pr.markers.mutualinformation.iterative.UniEntropy(infoset)
most_variable_genes = ue.autoselect(1000)
```

At this stage we can slice our adata object as adata[:,most\_relevant\_genes] or adata[:, most\_variable\_genes] and create a new InformationSet object for this sliced object. We don't need to do that here since there are not a lot of genes but will do so anyway for demonstration purposes.

#### **1.1.1 Supervised Feature Selection**

Let's jump straight into supervised feature selection. Here we will use the CIFE objective

```
[8]: adata_mr = adata[:,most_relevant_genes].copy()
infoset_mr = pr.markers.makeinfoset(adata_mr, True)
```

```
[9]: cife = pr.markers.CIFE(infoset_mr)
```

```
[10]: cife.score[:20]
```

#### Let's select 'Mpo'

[12]: ind = adata\_mr.var\_names.get\_loc('Mpo')

```
[13]: cife.add(ind)
```

Now, the top genes are

Observe that the order has changed based on redundancy (or lack thereof) with 'Mpo'. Let's add 'Carl'

```
[15]: ind = adata_mr.var_names.get_loc('Carl')
    cife.add(ind)
```

```
[16]: top_genes = np.argsort(cife.score)[::-1]
print(adata_mr.var_names[top_genes[:10]])
```

If we want to select the top gene repeatedly, we can use autoselect

[17]: cife.autoselect(5)

To look at the markers we've selected, we can examine cife.S

[18]: cife.S

```
[18]: [0, 5, 187, 23, 49, 931, 306]
```

```
[19]: adata_mr.var_names[cife.S]
```

[19]: Index(['Mpo', 'Carl', 'Actb', 'H2afy', 'Hsp90ab1', 'Gpr56', 'Ly6e'], dtype='object')

#### **User Interface**

This process can also done manually with a user-interface allowing you to incorporate domain knowledge in this process. Use the View dropdown to look at heatplots for candidate genes and already selected genes.

Normalize per cell and log transform the data. We are doing this here only to generate familiar features. We do not recommend performing these transformations before make\_infoset.

```
[20]: sc.pp.normalize_per_cell(adata_mr)
    sc.pp.log1p(adata_mr)
```

```
[21]: im = pr.markers.InteractiveMarkerSelection(adata_mr, cife, ['tsne', 'violin'])
```

Running tsne on cells...

```
[22]: im.show()
```

Output()

Note, that because we passed the same cife object, any genes added/removed in the interface will affect the cife object.

```
[23]: adata_mr.var_names[cife.S]
[23]: Index(['Mpo', 'Carl', 'Actb', 'H2afy', 'Hsp90abl', 'Gpr56', 'Ly6e'], dtype='object')
```

#### **1.1.2 Unsupervised Feature Selection**

This works very similarly. In the example below, we'll autoselect 5 genes and then run the interface. Note that although the previous section would not work without cluster labels, the following code will.

```
[24]: cife_unsup = pr.markers.CIFEUnsup(infoset)
```

```
[25]: cife_unsup.autoselect(5)
```

If you ran the example above, this will load faster because the t\_SNE coordinates for genes and cells have already been computed.

Running tsne on cells...

```
[27]: im_unsup.show()
```

Output()

### 1.1.3 Binary Feature Selection

We can also perform feature selection specifically for individual class labels (e.g., clusters). This is done by changing the SparseInformationSet's y array. In the example below, we will target the class label "2Ery". Notice that the features selected by MIM (MIM doesn't consider redundancy) are only those that are informative about "2Ery" in particular.

Binary (i.e., not multiclass) feature selection can be performed with any information-theoretic feature selection algorithm (e.g., CIFE, JMI, MIM).

[30]: im2 = pr.markers.interactive.InteractiveMarkerSelection(adata, mim2, ["violin"])

[31]: im2.show()

Output()

[]:

## 1.2 Reading data

In addition to various functions for reading input data in scanpy, various methods in *picturedrocks* need cluster labels.

```
picturedrocks.read.process_clusts (adata, name='clust', copy=False)
Process cluster labels from an obs column
```

This copies *adata.obs[name]* into *adata.obs["clust"]* and precomputes cluster indices, number of clusters, etc for use by various functions in PicturedRocks.

**Parameters** 

- adata (anndata.AnnData) -
- **copy** (bool) determines whether a copy of AnnData object is returned

Returns object with annotation

Return type anndata.AnnData

#### **Notes**

The information computed here is lost when saving as a *.loom* file. If a *.loom* file has cluster information, you should run this function immediately after sc.read\_loom.

Read cluster labels from a csv into an obs column

#### **Parameters**

- adata (anndata.AnnData) the AnnData object to read labels into
- **filename** (*str*) filename of the csv file with labels
- **sep**(*str*, *optional*) csv delimiter
- **name** (*str*, *optional*) destination for label is adata.obs[name]
- header (bool) deterimes whether csv has a header line. If false, it is assumed that data begins at the first line of csv
- copy (bool) determines whether a copy of AnnData object is returned

Returns object with cluster labels

Return type anndata.AnnData

#### **Notes**

- Cluster ids will automatically be changed so they are 0-indexed
- csv can either be two columns (in which case the first column is treated as observation label and merging handled by pandas) or one column (only cluster labels, ordered as in adata)

### 1.3 Preprocessing

The preprocessing module provides basic preprocessing tools. To avoid reinventing the wheel, we won't repeat methods already in scanpy unless we need functionality not available there.

picturedrocks.preprocessing.pca (data, dim=3, center=True, copy=False)

Runs PCA

#### **Parameters**

- data (anndata.AnnData) input data
- dim (int, optional) number of PCs to compute
- center (bool, optional) determines whether to center data before running PCA
- copy determines whether a copy of AnnData object is returned

Returns object with obsm["X\_pca"], and varm["PCs"] set

Return type anndata.AnnData

## **1.4 Plotting**

picturedrocks.plot.genericplot (celldata, coords, \*\*scatterkwargs)

Generate a figure for some embedding of data

This function supports both 2D and 3D plots. This may be used to plot data for any embedding (e.g., PCA or t-SNE). For example usage, see code for *pcafigure*.

#### Parameters

- celldata (anndata.AnnData) data to plot
- coords (numpy.ndarray) (N, 2) or (N, 3) shaped coordinates of the embedded data
- **\*\*scatterkwargs** keyword arguments to pass to Scatter or Scatter3D in *plotly* (dictionaries are merged recursively)

picturedrocks.plot.genericwrongplot (*celldata*, *coords*, *yhat*, *labels=None*, \*\**scatterkwargs*) Plot figure with incorrectly classified points highlighted

This can be used with any 2D or 3D embedding (e.g., PCA or t-SNE). For example code, see pcawrongplot.

#### Parameters

- celldata (anndata.AnnData) data to plot
- coords (numpy.ndarray) (N, 2) or (N, 3) shaped array with coordinates to plot

- yhat (numpy.ndarray) (N, 1) shaped array of predicted y values
- labels (list, optional) list of axis titles
- **\*\*scatterkwargs** keyword arguments to pass to Scatter or Scatter3D in *plotly* (dictionaries are merged recursively)

picturedrocks.plot.pcafigure (*celldata*, \*\*scatterkwargs) Make a 3D PCA figure for an AnnData object

#### **Parameters**

- celldata (anndata.AnnData) data to plot
- **\*\*scatterkwargs** keyword arguments to pass to Scatter or Scatter3D in *plotly* (dictionaries are merged recursively)

picturedrocks.plot.pcawrongplot (*celldata*, *yhat*, \*\**scatterkwargs*) Generate a 3D PCA figure with incorrectly classified points highlighted

#### **Parameters**

- celldata (anndata.AnnData) data to plot
- yhat (numpy.ndarray) (N, 1) shaped array of predicted y values
- **\*\*scatterkwargs** keyword arguments to pass to Scatter or Scatter3D in *plotly* (dictionaries are merged recursively)

picturedrocks.plot.plotgeneheat (*celldata*, *coords*, *genes*, *hide\_clusts=False*, \*\**scatterkwargs*) Generate gene heat plot for some embedding of AnnData

This generates a figure with multiple dropdown options. The first option is "Clust" for a plot similar to *genericplot*, and the remaining dropdown options correspond to genes specified in *genes*. When *celldata.genes* is defined, these drop downs are labeled with the gene names.

#### Parameters

- celldata (anndata.AnnData) data to plot
- coords (numpy.ndarray) (N, 2) or (N, 3) shaped coordinates of the embedded data (e.g., PCA or tSNE)
- genes (list) list of gene indices or gene names
- hide\_clusts (bool) Determines if cluster labels are ignored even if they are available

picturedrocks.plot.umapfigure(adata, \*\*scatterkwargs)

## **1.5 Selecting Markers**

#### argmax

PicturedRocks can be used to implement numerous information-theoretic feature selection methods. In both the supervised and unsupervised cases, it is computationally intractable to optimize the true objective functions. We want to find a small set of genes S, with |S| < n such that, in the supervised case I(S; y) is maximized and in the unsupervised case H(S) is maximized. (For more information, see our paper)

Because these are computationally intractable, we have implemented the following approximations to  $x_i I(S \cup \{x_i\}; y)$  (the ideal supervised objective function)

• The Mutual Information Maximization (MIM) is a univariate feature selection method—it does not consider the interaction

$$J_{\min}(x_i) = I(x_i; y)$$

• The Joint Mutual Information (JMI) algorithm proposed by Yang and Moody uses a diminishing penalty on redundancy.

$$J_{\rm jmi}(x_i) = I(x_i; y) - \frac{1}{|S|} \sum_{x_j \in S} I(x_i; x_j; y)$$

• The (CIFE) algorithm proposed by Lin and Tang (and independently by others) does not diminish its redundancy penalty

$$J_{\text{cife}}(x_i) = I(x_i; y) - \sum_{x_j \in S} I(x_i; x_j; y)$$

#### 1.5.1 Mutual information

Before running any mutual information based algorithms, we need a discretized version of the gene expression matrix, with a limited number of discrete values (because we do not make any assumptions about the distribution of gene expression). Such data is stored in *InformationSet*, but by default, we suggest using *makeinfoset()* to generate such an object. The *makeinfoset()* function uses the recursive quantile transform *quantile\_discretize()*.

#### **Iterative Feature Selection**

All information-theoretic feature selection methods in PicturedRocks are greedy algorithms. In general, they implement the abstract class IterativeFeatureSelection class. See *Supervised Feature Selection* and *Unsupervised Feature Selection* below for specific algorithms.

```
class picturedrocks.markers.IterativeFeatureSelection(infoset)
    Abstract Class for Iterative Feature Selection
```

add(ind)

Select specified feature

Parameters ind (int) – Index of feature to select

autoselect (*n\_feats*) Auto select features

This automatically selects  $n_{feats}$  features greedily by selecting the feature with the highest score at each iteration.

**Parameters n\_feats** (*int*) – The number of features to select

remove (ind)

Remove specified feature

**Parameters** ind (*int*) – Index of feature to remove

#### **Supervised Feature Selection**

class picturedrocks.markers.MIM(infoset)

class picturedrocks.markers.CIFE(infoset)

**class** picturedrocks.markers.**JMI** (*infoset*)

#### **Unsupervised Feature Selection**

class picturedrocks.markers.UniEntropy(infoset)

class picturedrocks.markers.CIFEUnsup(infoset)

#### **Auxiliary Classes and Methods**

class picturedrocks.markers.InformationSet (X, has\_y=False)
 Stores discrete gene expression matrix

#### **Parameters**

- X (numpy.ndarray) a (num\_obs, num\_vars) shape array with dtype int
- **has\_y** (bool) whether the array X has a target label column (a y column) as its last column

**class** picturedrocks.markers.**SparseInformationSet** (*X*, *y=None*) Stores sparse discrete gene expression matrix

#### Parameters

- **X** (*scipy.sparse.csc\_matrix*) a (num\_obs, num\_vars) shape matrix with dtype np.integer
- **y** (*Union*[*NoneType*, *numpy.ndarray*]) if there is a target label column then this should be the target label, otherwise pass None.

#### entropy (cols)

Entropy of an ensemble of columns

**Parameters cols** (*numpy.ndarray*) – a 1-d array (of dtype int64) with indices of columns to compute entropy over. To include the y column, use index -1 as the first entry of cols. All entries in cols except for the first entry must be non-negative.

Returns the Shannon entropy of cols

#### Return type numpy.int64

#### entropy\_wrt (cols)

Compute multiple entropies at once

This method computes the entropy of cols + [i] iterating over all possible values of *i* and returns an array of entropies (one for each column)

**Parameters cols** (*numpy.ndarray*) – a 1-d array (of dtype int64) with indices of columns to compute entropy with respect to. To include the y column, use index -1 as the first entry of cols. All entries in cols except for the first entry must be non-negative.

**Note:** To compute the entropy of all columns, you need to pass an empty numpy array of dtype int64. A quick way to do so is np.arange(0).

**Returns** a 1-d array of entropies (where entry *i* corresponds to the entropy of columns *cols* together with column *i*)

Return type numpy.ndarray

picturedrocks.markers.makeinfoset(adata, include\_y, k=5)

Discretize data and make a SparseInformationSet object

#### **Parameters**

- adata (anndata.AnnData) The data to discretize. By default data is discretized as round(log2(X + 1)).
- **include\_y** (*bool*) Determines if the *y* (cluster label) column in included in the *InformationSet* object

Returns An object that can be used to perform information theoretic calculations.

#### Return type SparseInformationSet

picturedrocks.markers.mutualinformation.infoset.quantile\_discretize(X, k=5)
Discretize data matrix with a recursive quantile transform

#### Parameters

- X (Union[numpy.ndarray, scipy.sparse.spmatrix]) The input data matrix to transform.
- **k** (*int*) The number of bins to use in the discretization.

Returns The discretized data matrix

Return type np.ndarray

## **1.6 Interactive Marker Selection**

PicturedRocks provides an interactive user interface for selecting markers that allows the practitioner to use both their domain knowledge and the information-theoretic methods to select a small set of genes.

The user can specify the tabs they want available through the *visuals* argument. It is also possible to write your own interface for a tab using any plotting library available to you. See below for more details.

class picturedrocks.markers.interactive.InteractiveMarkerSelection(adata,

feature\_selection, visuals=None, disp\_genes=10, connected=True)

Run an interactive marker selection GUI inside a jupyter notebook

#### Parameters

- adata (*anndata.AnnData*) The data to run marker selection on. If you want to restrict to a small number of genes, slice your anndata object.
- **feature\_selection** (picturedrocks.markers.mutualinformation. iterative.IterativeFeatureSelection) - An instance of a interative feature selection algorithm class that corresponds to *adata* (i.e., the column indices in *feature\_selection* should correspond to the column indices in *adata*)
- **visuals** (*list*) List of visualizations to display. These can either be shorthands for built-in visualizations (currently "tsne", "umap", and "violin"), or an instance of InteractiveVisualization (see GeneHeatmap and ViolinPlot for example implementations).

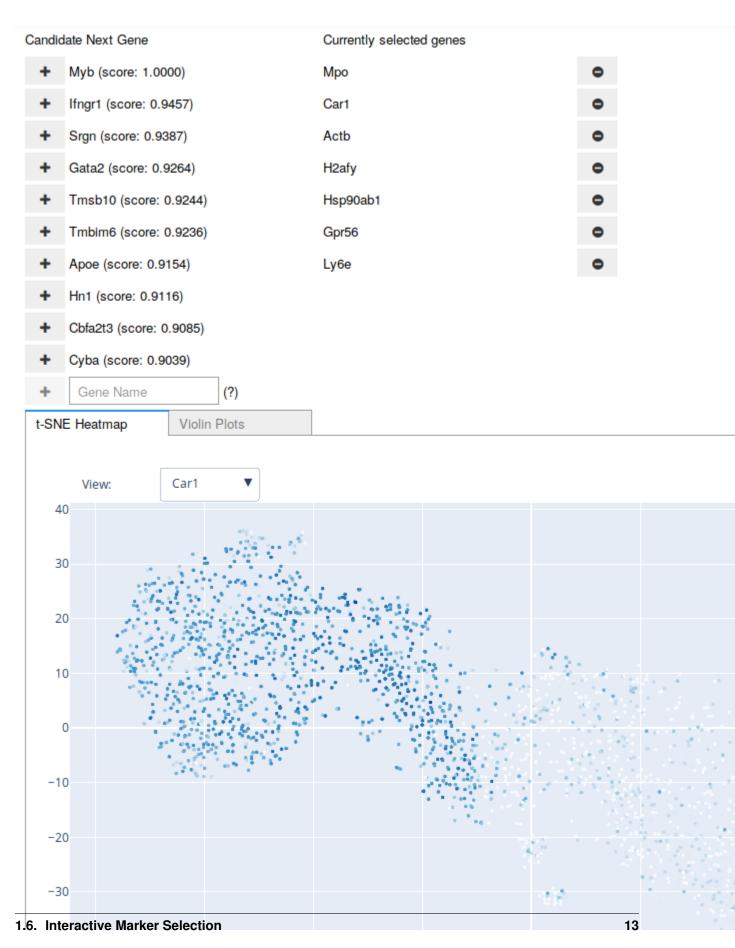


Fig. 1: A screenshot of the Interactive Marker Selection user interface being used on the Paul dataset. The "candidate" genes are updated after any addition to or removal from the "current" list of genes. The user may also type in the name of a gene at any point.



Fig. 2: A screenshot of the violin plot option for Interactive Marker Selection.

- disp genes (int) Number of genes to display as options (by default, number of genes plotted on the tSNE plot is 3 \* disp\_genes, but can be changed by setting the plot\_genes property after initializing.
- connected (bool) \_ Parameter to pass to plotly.offline. init\_notebook\_mode. If your browser does not have internet access, you should set this to False.

Warning: This class requires modules not explicitly listed as dependencies of picturedrocks. Specifically, please ensure that you have *ipywidgets* installed and that you use this class only inside a jupyter notebook.

#### redraw()

Redraw jupyter widgets after a change

This is called internally and there should usually be no need for the user to explicitly call this method.

#### show()

Display the jupyter widgets

**class** picturedrocks.markers.interactive.**GeneHeatmap**(*dim red='tsne'*, *n pcs=30*) GeneHeatmap for Interactive Marker Selection

#### **Parameters**

- dim\_red (str) Dimensionality reduction algorithm to use. Currently available options are "umap" and "tsne"
- **n\_pcs** (*int*) The number of principal components to map to before running dimensionality reduction

```
class picturedrocks.markers.interactive.ViolinPlot
    Violin Plots for each class label
```

#### 1.6.1 Using Your Own Visualizations

To write your own visualization, simply extend the InteractiveVisualization. Use the source code for GeneHeatmap and ViolinPlot as a reference for implementation.

class picturedrocks.markers.interactive.InteractiveVisualization Abstract base class for interactive visualizations

Extend this class and pass an instance of it to InteractiveMarkerSelection to use your own visualization. It is recommended that you begin your implementation of \_\_init\_\_ with:

super().\_\_init\_\_()

You are welcome to add parameters specific to your visualization in the \_\_init\_\_ method.

prepare (adata, out)

Prepare for visualization

This method is called when InteractiveMarkerSelection is initialized. It is recommended that you begin your implementation with

super().prepare(adata, out)

This stores adata and out in self.adata and self.out respectively.

**redraw** (*next\_gene\_inds*, *cur\_gene\_inds*) Draw the visualization

You must implement this method. To display the plots in the appropriate widget, use:

with self.out:
 fig.show() # or your plotting library's equivalent

title

Title of the visualization

This should be a Python property, using the @property decorator.

## **1.7 Measuring Feature Selection Performance**

This module can be used to evaluate feature selection methods via K-fold cross validation.

```
class picturedrocks.performance.FoldTester (adata)
Performs K-fold Cross Validation for Marker Selection
```

*FoldTester* can be used to evaluate various marker selection algorithms. It can split the data in *K* folds, run marker selection algorithms on these folds, and classify data based on testing and training data.

Parameters adata (anndata. AnnData) - data to slice into folds

#### classify (classifier)

Classify each cell using training data from other folds

For each fold, we project the data onto the markers selected for that fold, which we treat as test data. We also project the complement of the fold and treat that as training data.

**Parameters classifier** – a classifier that trains with a training data set and predicts labels of test data. See *NearestCentroidClassifier* for an example.

**Note:** The *classifier* should not attempt to modify data in-place. Any preprocessing should be done on a copy.

#### loadfolds (file)

Load folds from a file

The file can be one saved either by FoldTester.savefolds() or FoldTester. savefoldsandmarkers(). In the latter case, it will not load any markers.

See also:

FoldTester.loadfoldsandmarkers()

#### loadfoldsandmarkers(file)

Load folds and markers

Loads a folds and markers file saved by FoldTester.savefoldsandmarkers ()

**Parameters file** (*str*) – filename to load from (typically with a . npz extension)

#### See also:

FoldTester.loadfolds()

**makefolds** (k=5, random=False) Makes folds

#### **Parameters**

- **k** (*int*) the value of K
- random (bool) If true, makefolds will make folds randomly. Otherwise, the folds are made in order (i.e., the first ceil (N / k) cells in the first fold, etc.)

#### savefolds (file)

Save folds to a file

**Parameters file** (*str*) – filename to save (typically with a .npz extension)

#### savefoldsandmarkers (file)

Save folds and markers for each fold

This saves folds, and for each fold, the markers previously found by FoldTester. selectmarkers().

**Parameters file** (*str*) – filename to save to (typically with a .npz extension)

#### selectmarkers (select\_function)

Perform a marker selection algorithm on each fold

**Parameters select\_function** (*function*) – a function that takes in an AnnData object and outputs a list of gene markers, given by their index

**Note:** The *select\_function* should not attempt to modify data in-place. Any preprocessing should be done on a copy.

#### validatefolds()

Ensure that all observations are in exactly one fold

#### Returns

#### Return type bool

class picturedrocks.performance.NearestCentroidClassifier
 Nearest Centroid Classifier for Cross Validation

Computes the centroid of each cluster label in the training data, then predicts the label of each test data point by finding the nearest centroid.

#### test (Xtest)

#### train(adata)

**class** picturedrocks.performance.**PerformanceReport** (*y*, *yhat*) Report actual vs predicted statistics

#### Parameters

- y (numpy.ndarray) actual cluster labels, (N, 1)-shaped numpy array
- **yhat** (*numpy.ndarray*) predicted cluster labels, (N, 1)-shaped numpy array

#### confusionmatrixfigure()

Compute and make a confusion matrix figure

#### **Returns** confusion matrix

Return type plotly figure

#### getconfusionmatrix()

Get the confusion matrix for the latest run

**Returns** array of shape (K, K), with the [i, j] entry being the fraction of cells in cluster i that were predicted to be in cluster j

#### Return type numpy.ndarray

#### printscore()

Print a message with the score

#### show()

Print a full report

This uses *iplot*, so we assume this will only be run in a Jupyter notebook and that *init\_notebook\_mode* has already been run.

#### wrong()

Returns the number of cells misclassified.

picturedrocks.performance.kfoldindices(n, k, random=False)

Generate indices for k-fold cross validation

#### Parameters

- **n** (*int*) number of observations
- **k** (*int*) number of folds
- **random** (*bool*) determines whether to randomize the order

Yields *numpy.ndarray* – array of indices in each fold

picturedrocks.performance.merge\_markers (ft, n\_markers)

picturedrocks.performance.truncatemarkers(ft, n\_markers)

# CHAPTER 2

Indices and tables

- genindex
- modindex
- search

## Python Module Index

р

picturedrocks.markers,9
picturedrocks.markers.interactive,12
picturedrocks.performance,16
picturedrocks.plot,8
picturedrocks.preprocessing,8
picturedrocks.read,7

### Index

## А

add() (picturedrocks.markers.IterativeFeatureSelection method), 10 autoselect() (picturedrocks.markers.IterativeFeatureSelection method), 10

## С

CIFE (class in picturedrocks.markers), 10 CIFEUnsup (class in picturedrocks.markers), 11 classify() (picturedrocks.performance.FoldTester method), 16 confusionmatrixfigure() (picturedrocks.performance.PerformanceReport method), 17

## F

entropy() (picturedrocks.markers.SparseInformationSet loadfoldsandmarkers() method), 11 entropy\_wrt() (picturedrocks.markers.SparseInformationSet method), 11

## F

FoldTester (class in picturedrocks.performance), 16

## G

GeneHeatmap (class in picturedrocks.markers.interactive), 15 genericplot() (in module picturedrocks.plot), 8 genericwrongplot() module (in picturedrocks.plot), 8 getconfusionmatrix() (picturedrocks.performance.PerformanceReport method), 17

#### InformationSet (*class in picturedrocks.markers*), 11

InteractiveMarkerSelection (class in picturedrocks.markers.interactive). 12

InteractiveVisualization (class in picturedrocks.markers.interactive), 15

IterativeFeatureSelection (class in picturedrocks.markers), 10

## J

JMI (class in picturedrocks.markers), 10

### Κ

kfoldindices() (in module picturedrocks.performance), 18

### L

loadfolds() (picturedrocks.performance.FoldTester method), 16 (picturedrocks.performance.FoldTester method), 16

### Μ

makefolds() (picturedrocks.performance.FoldTester method), 16 makeinfoset() (in module picturedrocks.markers), 11 merge markers() (in module picturedrocks.performance), 18 MIM (class in picturedrocks.markers), 10

## Ν

NearestCentroidClassifier (class in picturedrocks.performance), 17

### Ρ

pca() (in module picturedrocks.preprocessing), 8 pcafigure() (in module picturedrocks.plot), 9 pcawrongplot() (in module picturedrocks.plot), 9 PerformanceReport (class in drocks.performance), 17 picturedrocks.markers(module),9 picturedrocks.markers.interactive (module), 12 picturedrocks.performance (module), 16 picturedrocks.plot (module), 8 picturedrocks.preprocessing (module), 8 picturedrocks.read (module), 7 plotgeneheat() (in module picturedrocks.plot), 9 prepare() (picturedrocks.markers.interactive.Interactive method), 15 printscore() (picturedrocks.performance.PerformanceReport method), 18 process\_clusts() (in module picturedrocks.read),7

## Q

quantile\_discretize() (in module picturedrocks.markers.mutualinformation.infoset), 12

## R

## S

```
savefolds() (picturedrocks.performance.FoldTester
        method), 17
savefoldsandmarkers()
                                           (picture-
        drocks.performance.FoldTester
                                           method),
         17
selectmarkers()
                                           (picture-
        drocks.performance.FoldTester
                                           method),
         17
show() (picturedrocks.markers.interactive.InteractiveMarkerSelection
        method), 15
show() (picturedrocks.performance.PerformanceReport
        method), 18
SparseInformationSet
                              (class
                                       in
                                            picture-
        drocks.markers), 11
```

## Т

test() (picturedrocks.performance.NearestCentroidClassifier method), 17 title(picturedrocks.markers.interactive.InteractiveVisualization attribute), 16 train() (picturedrocks.performance.NearestCentroidClassifier method), 17

picture- truncatemarkers() (in module picturedrocks.performance), 18

### U

umapfigure() (in module picturedrocks.plot), 9
UniEntropy (class in picturedrocks.markers), 11

### V

validatefold	(picture-		
drocks.p eVisualization	method),		
ViolinPlot	(class	in	picture-
drocks.n	arkers.interact	ive), 15	

### W